

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ogawa, Kazuo

Serial No.: 09/964,995

Filed: 09/27/2001

Title: Semiconductor Device and
Manufacturing Method Therefor

Attorney Docket No.: N29748500S

Group Art Unit: 2811

Examiner: Tran, T.

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

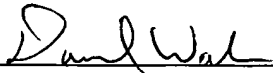
- ☐ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
 - ☒ Certification under 37 CFR 1.97(e), or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
 - ☐ Certification under 37 CFR 1.97(e), and
 - ☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith an Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



Darryl G. Walker
Attorney/Agent for Applicant(s)
Reg. No. 43,232

Date: January 28, 2004

Telephone No.: 1-408-289-5314

37 CFR §1.8

I hereby certify that this correspondence is being

[] transmitted via facsimile to the United States Patent and Trademark Office to

fax number: _____

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[X] deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: January 28, 2004

Typed/Printed Name: _____ Darryl G. Walker _____

Signature:  _____



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COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

(X) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or

() No item of information contained in the Information Disclosure Statement

-- was cited in a communication from a foreign patent office in a counterpart foreign application, and

-- to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313.

Date of Deposit: January 28, 2004

Typed/Printed Name: Darryl G. Walker

Signature: Darryl G. Walker

By Darryl G. Walker
Darryl G. Walker
Attorney/Agent for Applicant(s)
Reg. No.: 43,232

Date: January 28, 2004

Telephone No.: 1-408-289-5314



INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
				Application Number	09/964,995
				Filing Date	September 27, 2001
				First Named Inventor	Ogawa, Kazuo
				Group Art Unit	2811
Examiner Name	Tran, T.				
Sheet	1	of	1	Attorney Docket Number	N29748500S

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹				Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code ² (if known)			
		JP	10-242260		OKI Electric Ind Co Ltd	09-11-1998	
		JP	11-233610		Mitsubishi Electric Corp	08-27-1999	
		JP	2000-223564		Sony Corp	08-11-2000	
		JP	60-218854		NEC Corp	11-01-1985	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Translation of indicated portions of Japanese Office Action of January 6, 2004

Examiner Signature		Date Considered	
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.